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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/627,232	07/27/2000	Nancy George	066990.0102	2324

7590

09/24/2003

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EXAMINER

KINDRED, ALFORD W

ART UNIT

PAPER NUMBER

2172

DATE MAILED: 09/24/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/627,232

Applicant(s)

GEORGE, NANCY

Examiner

Alford W. Kindred

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 10 July 2003.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- ☐ Notice of References Cited (PTO-892)
- ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- ☐ Notice of Informal Patent Application (PTO-152)
- ☐ Other:

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### DETAILED ACTION

1. This action is responsive to communication: Reconsideration, filed on 07/10/2003.

This action is made final.

### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-19 are rejected under 35 U.S.C. 102(e) as being anticipated by US# 6,138,199, Hall et al.

As per claims 1-2, Hall et al. teaches "at least one compliance data source; supplementing and formatting a portion of said compliance data to create modified compliance data" (see col. 5, lines 32-54, whereas Hall's "rights management" reads applicant's "compliance data") "storing said modified compliance data within data . . . classification scheme relating to compliance data . . ." (see col. 7, lines 4-40) "transferring said modified compliance data from said database . . ." (see col. 18, lines 16-44).

As per claims 3-5, Hall et al. teaches "compliance data . . . user's preference . . ."  
(see col. 16-54).

As per claim 6, Hall et al. teaches "monitors user traffic . . ." (see col. 9, lines 39-64).

As per claim 7, Hall et al. teaches "displaying said modified compliance data . . ."  
(see col. 7, lines 5-24).

As per claim 8, Hall et al. teaches "Government standards . . ." (see col. 4, lines 47-58).

As per claims 9-11, Hall et al. teaches "wherein said database is publicly  
inaccessible and password . . ." (see col. 4, lines 57-67).

As per claim 12, Hall et al. teaches "uploading compliance data from a remote  
communication device" (see col. 18, lines 10-43).

As per claims 13-19, these claims are rejected on grounds corresponding to the  
arguments given above for rejected claims 1-12 and are similarly rejected.

### ***Response to Arguments***

5. Applicant's arguments filed 7/10/03 have been fully considered but they are not  
persuasive.

--As per applicant's arguments regarding "Hall's rights management data is not  
related to Applicant's claimed compliance data", examiner maintains that Hall's rights  
management data includes data that must obey a compliance element and therefore  
read on applicant's claim language of "compliance data".

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--As per applicant's arguments regarding "Hall's data is not is not supplemented as set forth in claims 1 and 13", examiner maintains that Hall data is formatted and has the capacity to be modified and supplemented in a manner described in applicant's claim language above.

--As per applicant's arguments regarding "Applicant maintains that the Office Action fails to satisfy its burden of establishing that Hall's "parameter data" may correspond to Hall's "right management data" . . . , examiner maintains that Hall's rights management data and parameter data, as well as Hall's data structure element, are related and work in a manner similar to applicant's claim language above.

***Conclusion***

**6. THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

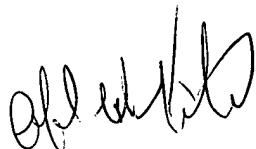
A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alford W. Kindred whose telephone number is 703-305-3802. The examiner can normally be reached on Mon-Fri 9:00 am- 5:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Klm Vu can be reached on (703) 305-4393. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-9000.

A handwritten signature in black ink, appearing to read 'Alford W. Kindred', written in a cursive style.

Alford W. Kindred  
Patent Examiner  
Tech Ctr. 2100